

A Fast Channel Selection Method and Joint Humidity and Temperature Retrieval from IASI Measurements

Marc Schwärz and Gottfried Kirchengast

(marc.schwaerz@uni-graz.at, gottfried.kirchengast@uni-graz.at)

Institute for Geophysics, Astrophysics, and Meteorology (IGAM), University of Graz, Austria

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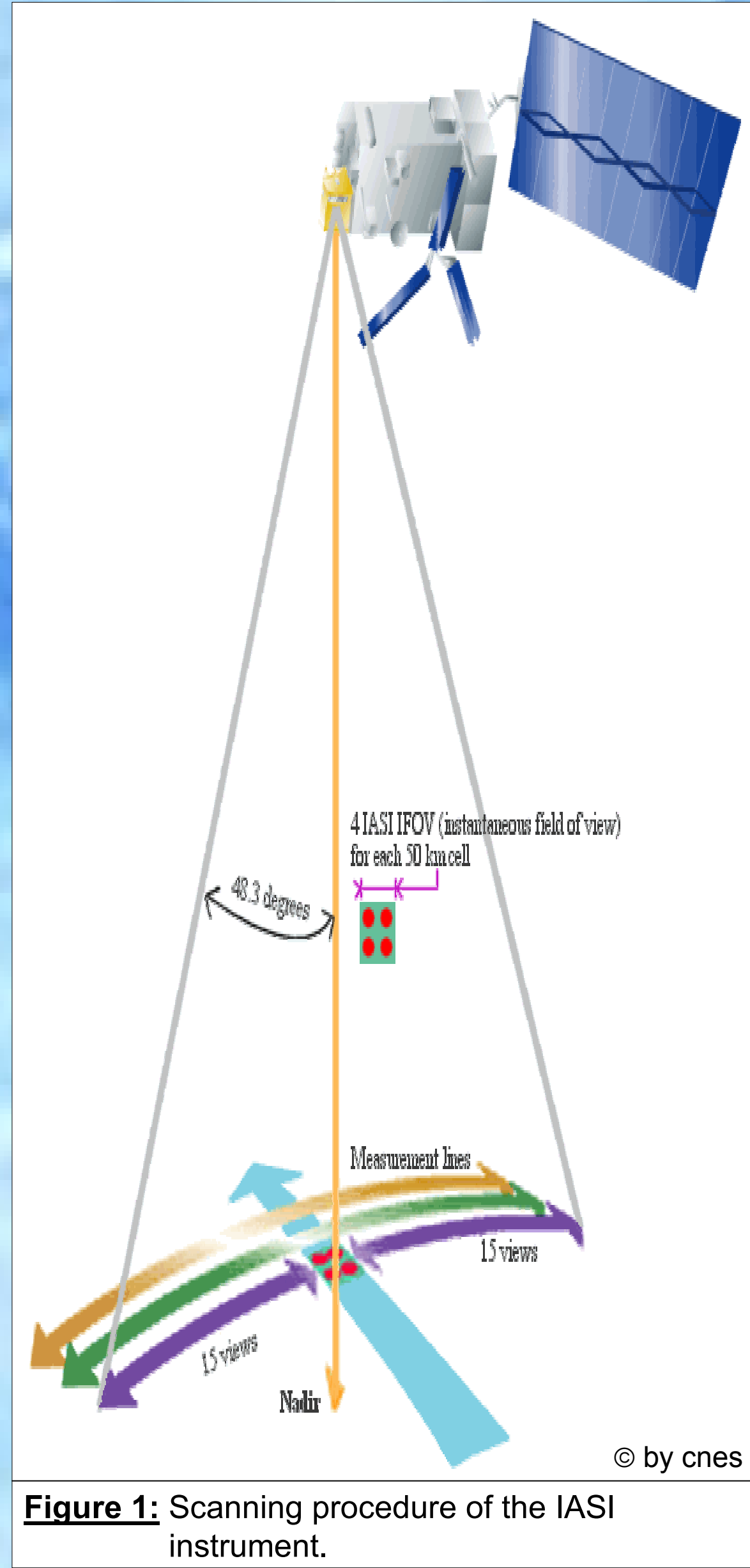


Figure 1: Scanning procedure of the IASI instrument.

The Infrared Atmospheric Sounding Interferometer IASI

- IASI¹ is part of the core payload of the METOP series of polar orbiting meteorological satellites (1st launch scheduled 2005).
- Spectral Range: 645 - 2760 cm⁻¹ (15.5 - 3.6 μm), sampling at 0.25 cm⁻¹.
- Temperature Range: 180 - 315 K with radiometric noise (NEΔT @ 280 K) ~0.2 to 0.5 K; radiometric calibration < 1 K.
- Geometric Performance: swath width ~±1100 km, IFOV (nadir): ~48×48 km², 30 Earth views per scan, total scan period: ~8 seconds (see also Figure 1).
- 8461 channels, water vapor absorption 1250 - 2000 cm⁻¹, CO₂ bands near 645 cm⁻¹ and 2325 cm⁻¹, furthermore, absorption by O₃, CH₄, N₂O, CO, and SO₂.

The Fast Radiative Transfer Model RTIASI

The fast transmittance model RTIASI² was used to simulate IASI measurements (brightness temperatures T_B) and to calculate temperature, T , and specific humidity, q , Jacobians, $\partial T_B / \partial T$, and $\partial T_B / \partial \ln q$, respectively. RTIASI simulates the measurements at 43 fixed pressure levels from 0.1 mbar to surface (1013.25 mbar) over the IASI spectral range. With convoluted line-by-line (LBL) transmittances and selected predictors, the model computes regression coefficients to derive level-to-space transmittances for any input profile. Based on these transmittances RTIASI finally estimates the brightness temperatures (or the radiances, alternatively) viewed by the IASI sensor.

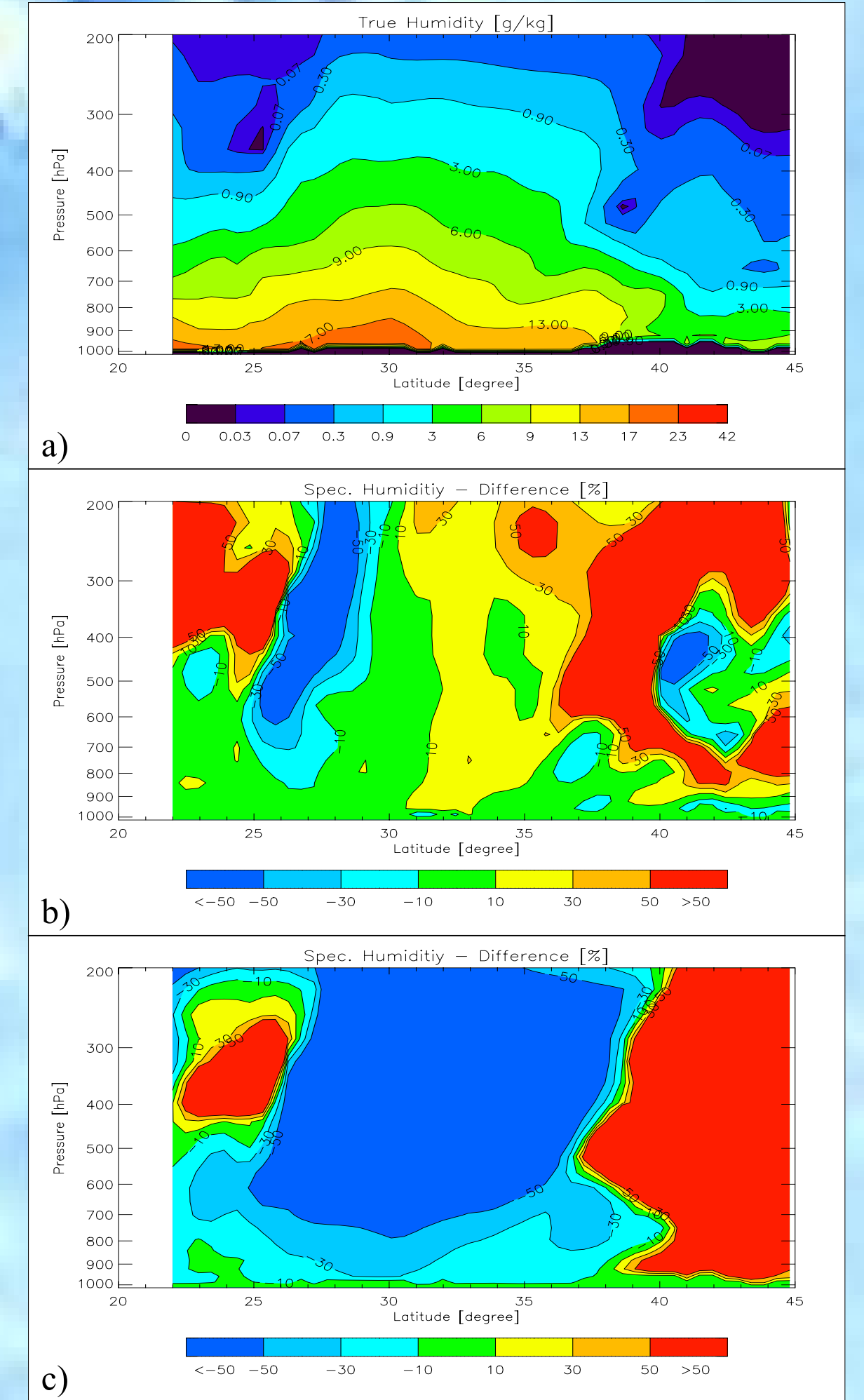


Figure 2: a) True Spec. Humidity Field; ECMWF T213L50 analysis, Sep 15, 1999 - 1200 UTC. b) A Priori Field minus True Field (as a priori field the ECMWF 6-hr forecast was chosen). c) A Priori Field minus True Field (a priori field from CIRA86aQ model³).

Channel Reduction and Retrieval Algorithm

Channel Reduction:

- Exclusion of the channels > 2500 cm⁻¹ and of those of "foreign" bands (O₃, CH₄, CO), which results in ~5180 channels.

- Channel Reduction by Information Content (IC) Theory⁵:** The IC content H is calculated for each channel according to

$$H = \frac{1}{2} \log_2 |\mathbf{S}_{ap} \mathbf{S}^{-1}|, \quad (1)$$

with \mathbf{S}_{ap} and \mathbf{S} according to Eq. (4).

- Channel Reduction by the Maximum Sensitivity (MS) Approach⁶:** As a fast approximation to the IC as defined by Eq. (1) we take those channels for which the elements H of the matrix

$$\mathbf{H} = \mathbf{S}_e^{-1/2} \mathbf{K} \quad (2)$$

are largest.

Retrieval Algorithm:

For retrieving the humidity, q , and temperature, T , profiles, we use the iterative (iteration index i) non-linear optimal estimation algorithm⁴

$$\mathbf{x}_{i+1} = \mathbf{x}_{ap} + \mathbf{S}_i \mathbf{K}_i^T \mathbf{S}_e^{-1} [(\mathbf{y} - \mathbf{y}_i) + \mathbf{K}_i(\mathbf{x}_i - \mathbf{x}_{ap})], \quad (3)$$

where \mathbf{x}_{i+1} and \mathbf{x}_{ap} are the iterated and the *a priori* state vector ($\ln q$ and T combined in one state \mathbf{x}), \mathbf{S}_e is the measurement error covariance matrix, \mathbf{K}_i is the Jacobian matrix, \mathbf{y} and \mathbf{y}_i are the measurements corresponding to the true and iterated state vector (\mathbf{y} perturbed by noise consistent with \mathbf{S}_e), and \mathbf{S}_i is the retrieval error covariance matrix given by

$$\mathbf{S}_i = (\mathbf{S}_{ap}^{-1} + \mathbf{K}^T \mathbf{S}_e^{-1} \mathbf{K})^{-1}, \quad (4)$$

with \mathbf{S}_{ap} as the *a priori* error covariance matrix.

For q , the diagonal elements of \mathbf{S}_{ap} increase from 20% (surface) to 50% (at 400 hPa) and are then constant at 50%, the non-diagonal elements follow exponential drop-off correlation with corr.length 3 km (T errors are modeled as by Weisz, 2001⁶). The diagonal elements of \mathbf{S}_e follow the "IASI level 1c noise table" (P. Schluessel/EUMETSAT, priv. communications, 2000) and error correlation between three neighbouring channels is accounted for.

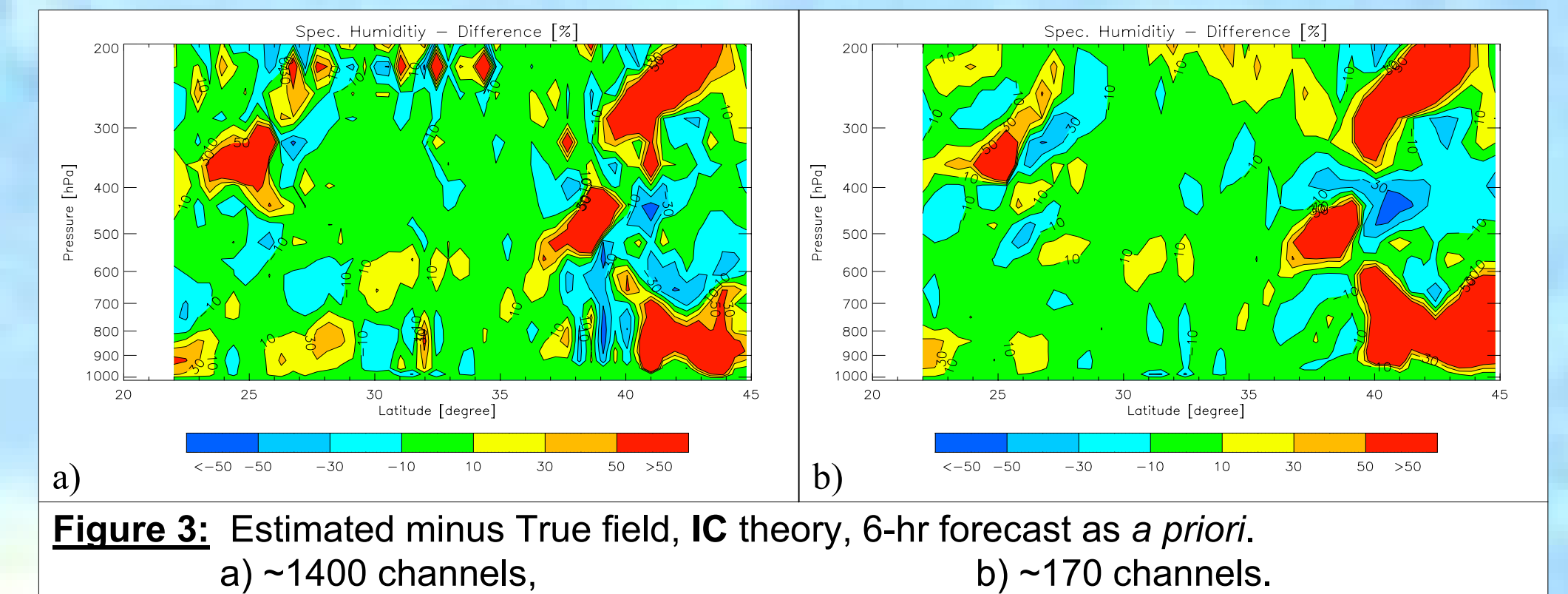


Figure 3: Estimated minus True field, IC theory, 6-hr forecast as a priori. a) ~1400 channels, b) ~170 channels.

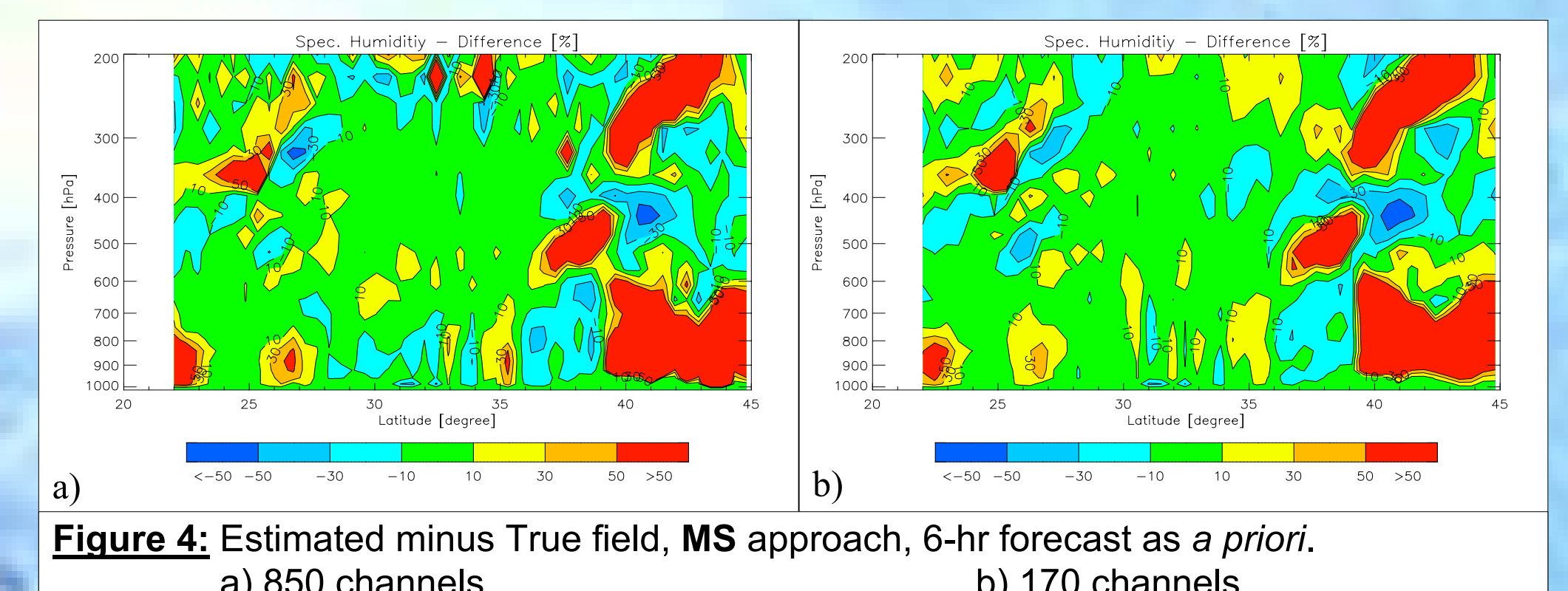


Figure 4: Estimated minus True field, MS approach, 6-hr forecast as a priori. a) 850 channels, b) 170 channels.

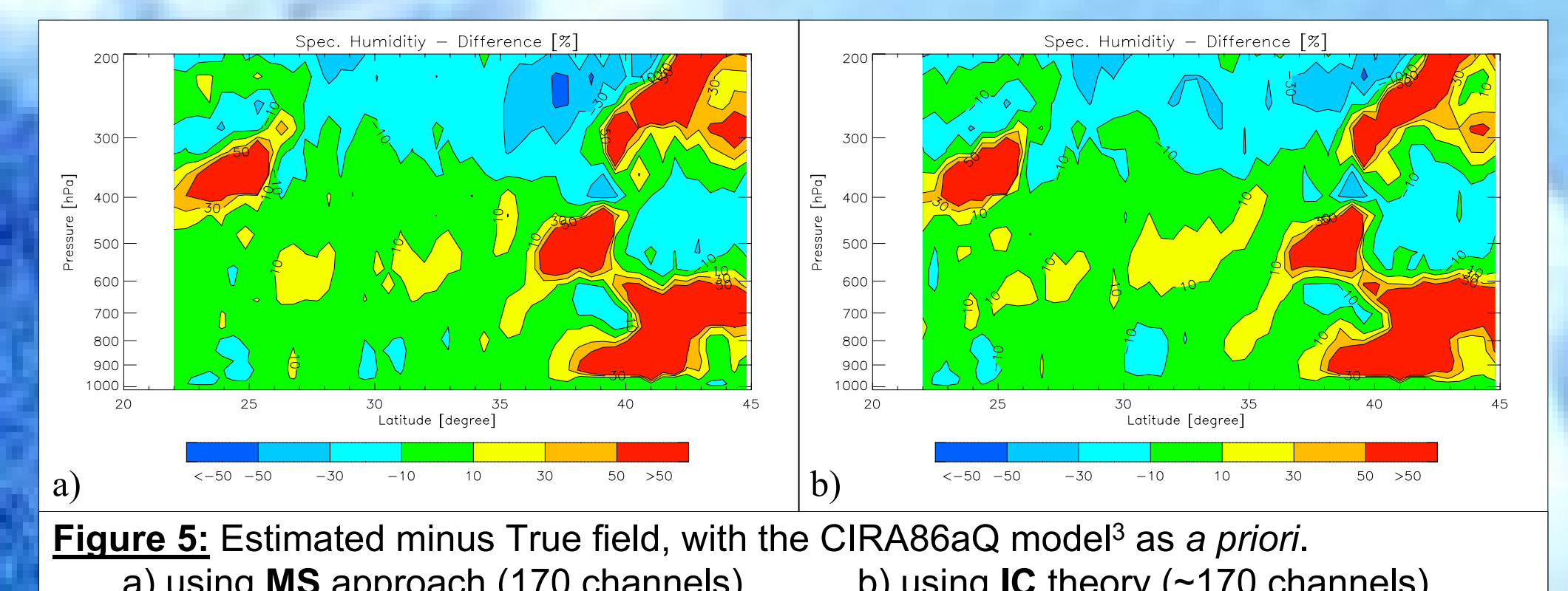


Figure 5: Estimated minus True field, with the CIRA86aQ model³ as a priori. a) using MS approach (170 channels), b) using IC theory (~170 channels).

Results, Conclusions, and Perspectives

We introduced an effective channel selection method, which we used to reduce the total number of IASI channels from more than 8000 to about 2% (~170). The method allows to select channels with highest information content on the treated atmospheric parameters and we observe that the best achievable retrieval accuracy is obtained already with <200 channels. The retrieval was implemented as a joint humidity and temperature retrieval based on optimal estimation methodology. We focus here on showing humidity retrieval performance under realistic conditions (for IASI temperature retrieval results see, e.g., Weisz, 2001⁶). Figure 2 shows the basic data for an exemplary humidity field (cross-section through "Hurricane Floyd"). Comparing Figures 3a-b and 4a-b indicates that using

fewer channel with high information content still yields high retrieval accuracy (compared to using many times more channels). Figure 5 shows that, though the CIRA86aQ model³ is insensitive to the special meteorological event (Hurricane Floyd), the results with this a priori are not worse in the lower troposphere (>500 hPa), even better near surface, than those with the 6-hr forecast (24-hr forecasts used in future may perform better). Generally the humidity retrieval is accurate to within 10% in the majority of the domain. A next step is focusing on retrieval of Sea Surface Temperature (SST) and Upper Troposphere Humidity (UTH) profiles for large-scale climatological application. Based on the present encouraging results, SST and UTH data of very high quality are expected.

¹ Camy-Peyret, C., and J.R. Eyre (eds.), IASI Science Plan: A Report from the IASI Sounding Science Working Group, ISSWG Report, publ. by EUMETSAT, Darmstadt, Germany, 1998.

² Matricardi, M., and R. Saunders, A Fast Radiative Transfer Model for Simulation of Infrared Atmospheric Sounding Interferometer Radiances, *J. Appl. Optics*, **38**, 5679-5691, 1999.

³ Kirchengast, G., J. Hafner, and W. Poetzi, The CIRA86aQ_UoG Model, *Tech. Rep. ESA/ESTEC-8/1999*, 18p., Inst. for Geophys., Astrophys., and Meteorol., Univ. of Graz, Austria, 1999.

⁴ Rodgers, C. D., *Inverse Methods for Atmospheric Sounding: Theory and Practice*, p.65ff, World Scientific Publ., Singapore, 2000.

⁵ Rodgers, C. D., Information Content and Optimization of High Spectral Resolution Measurements, *SPIE*, **2830**, Optical Spectroscopic Techniques and Instrumentation for Atmospheric and Space Research II (P. B. Hay and J. Wang, eds.), 136-147, 1996.

⁶ Weisz, E., Temperature Profiling by the IASI: Advanced Retrieval Algorithm and Performance Analysis, *Wissenschaftl. Ber. No. 11*, 174p., Inst. for Geophys., Astrophys., and Meteorol., Univ. of Graz, Austria, 2001.