

Institute for Geophysics, Astrophysics, and Meteorology / University of Graz Atmospheric Remote Sensing and Climate System Research Group ARSCIiSys — on the art of understanding the climate system



Climate Change Monitoring by Radio Occultation: From Simulation Studies via CHAMP to COSMIC and ACE+ Constellations

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Invited Talk; COSMIC RO Science Workshop/Session "Climate+Meteorology"; August 23, 2002; UCAR, Boulder, CO, U.S.A.





Climate Change Monitoring by Radio Occultation Outline



- Radio Occultation (RO) Climate Change Monitoring Promise
 - on the principle of spaceborne occultation measurements
 - RO methods (GNSS-LEO, LEO-LEO) and close friends
 - relevance for climate monitoring and research
- Simulation Studies Climate Monitoring Simulation 2001-2025
 - a climate GNSS RO observing system simulation experiment
 - results of performance analysis (JJA 1997 "testbed" analysis)
 - perspectives for the full experiment (time period 2001-2025)
- CHAMP, COSMIC, ACE+,... Current & Future RO Research
 - RO-related research aims at IGAM/Univ. of Graz
 - on current research (START- and ENVI-ATCHANGE, ESA-R&D)
 - on initialized future research (ECCMAR/<u>CHAMPCLIM</u>, <u>ACE+</u>)
- Concluding Remarks





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RO – Climate Change Monitoring Promise principle of spaceborne occultation measurements





[basic figures from D. Feng, Univ. of Arizona, priv. communications, 2001 (modified)]



Occultation Methods

- exploit extinction and/or refraction of electromagnetic signals along limb paths
- providing measurements of transmission and/or Doppler shift profiles
- leading via absorption or column density, bending angle, and (complex) refractivity
- to key climate parameters such as temperature, humidity, ozone, and geopotential height.

Inversion of Occultation Data

- is a virtually well-posed and close to linear problem solved by
- direct inversion/retrieval or
- data assimilation.



RO – Climate Change Monitoring Promise GNSS-LEO radio occultation





Atmosphere

GNSS-LEO Radio Occultation

- exploits (mainly) refraction of L-band signals along limb paths
- providing <u>self-calibrated</u> measurements of Doppler shift
- leading via atmospheric bending angle and refractivity profiles
- to key atmosphere and climate parameters such as temperature, humidity, and geopotential height.

Inversion of GNSS Occultation Data

- is a virtually well-posed and close to linear problem solved by
- direct inversion/retrieval or
- data assimilation.



RO – Climate Change Monitoring Promise LEO-LEO radio occultation





[basic figures from D. Feng, Univ. of Arizona, priv. communications, 2001 (modified)]



LEO-LEO Radio Occultation

- exploits absorption & refraction of X/K-band signals along limb paths
- providing <u>self-calibrated</u> measurements of attenuation and Doppler
- leading via absorption, bending angle, and (complex) refractivity
- to key atmosphere and climate parameters such as temperature *T*, humidity *q*, and geopotential height.

Inversion of LEO-LEO Occultation Data

- similar to GNSS-LEO but providing T and q independently; also solved by
- direct inversion/retrieval or
- data assimilation.



RO – Climate Change Monitoring Promise close friends of radio occultation

Close friends of GNSS- and LEO-LEO occultation are Stellar and Solar/Lunar Occultation



LEO-LEO occultation exploits extinction & refraction of MW signals along limb paths



(Source: D. Feng et al., Inst. of Physics/Univ. of Arizona, U.S.A., 2001; adapted)



Stellar and Solar/Lunar occultation exploit extinction of optical signals along limb paths

- Each of these complementary methods exploits the unique properties of the occultation principle.
- Each of them addresses a different height range/ different parameters with optimal sensitivity.



RO – Climate Change Monitoring Promise relevance for climate monitoring and research



...from the 9 "high priority areas for action" noted in the recent IPCC 2001 report (Summary for Policymakers, IPCC Working Group I, page 17):

"- sustain and expand the observational foundation for climate studies by providing accurate, long-term, consistent data including implementation of a strategy for integrated global observations."

Such accurate, long-term, consistent data on the thermal (*T*), moisture (*q*), and geopotential height (*Z*) structure of the troposphere and stratosphere can be furnished by a constellation of 4 - 24 micro-satellites carrying

GNSS- and LEO-LEO radio occultation sensors

(CHAMP, GRACE, COSMIC/BJ-GPS, METOP/GRAS, ACE+/AGRAS&CALLS,...)

These occultation sensors deliver an unique combination of

- global coverage (equal observation density above oceans as above land)
- all-weather capability (virtual insensitivity to clouds and aerosols; wavelengths > 1 cm)
- high accuracy and vertical resolution (e.g., *T* < 1 K, *q* < 5% at ~1 km resolution)
- long-term stability due to intrinsic self-calibration (drifts < 0.1 K, < 2%r.h. / decade)



Climate Change Monitoring by Radio Occultation Climate Monitoring Simulation Study

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Climate Monitoring Simulation Study a climate observing system simulation experiment



In General: Perform a rigorous quantitative evaluation of the promise GNSS radio occultation is perceived to hold for climate change monitoring.

In Particular: Test the capability of a small GNSS occultation observing system for detecting anthropogenically influenced temperature trends within the coming two decades.



Surface temperature change according to IPCC 2001 scenarios

Methodology: Given the lack of adequate real data, perform a realistic end-to-end climate observing system simulation experiment over a sufficient period of time.

Spin-off: Set up all necessary elements of a climate monitoring system, which can later generate high-quality temperature and geopotential height climatologies also based on real data (foreseen to be started based on the CHAMP/GPS data flow).



Climate Monitoring Simulation Study study design



For the summer seasons (JJA) during 2001 to 2025 perform

- Realistic modeling of the neutral atmosphere and ionosphere.
- Realistic simulations of observables for a small constellation of GNSS occultation sensors (6 satellites, 5x5yrs COSMIC|ACE+ type mission).
- State-of-the-art data processing for temperature profiles retrieval in the troposphere and stratosphere to establish a set of realistic simulated temperature measurements.
- An objective statistical analysis of temporal trends in the "measured" states from the simulated temperature measurements (and the "true" states from the modeling, for reference).
- An assessment of how well a GNSS occultation observing system is able to detect climatic trends in the atmosphere over the coming two decades.

Testbed for setup of tools and performance analysis: JJA 1997



Climate Monitoring Simulation Study main simulation tool: EGOPS



The End-to-end GNSS Occultation Performance Simulator version 4 (EGOPS4) Overview, Exemplary Applications, and Future Avenues

G. Kirchengast¹, W. Poetzi¹, J. Ramsauer¹, J. Fritzer¹, A.K. Steiner¹, P. Silvestrin²,
 S. Syndergaard^{3,5}, M. Gorbunov⁴, G.B. Larsen⁵, K. Schultz⁶, L. Kornblueh⁷,
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EGOPS was developed with financial support by the European Space Agency (ESA)

ESA EGOPS Enhancement&Extension (EGOPS4) Study Final Presentation; ESA/ESTEC, Noordwijk, NL, March 19, 2002.

Climate Monitoring Simulation Study atmosphere modeling

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GCM_3D_Atmosphere – Temperature [K]



60 Height [km] 40 20 50 -50

Mean T field in selected domain: "True" JJA 1997 average temperature

Latitude [deg]

Atmosphere model: ECHAM5-MA (MPIM Hamburg) *Model resolution:* T42L39 (up to 0.01hPa/~80km) *Model mode:* Atmosphere-only (monthly mean SSTs) *Model runs:* 1 run with transient GHGs+Aerosols+O₃ 1 control run (natural forcing only)

Change monitoring: In JJA seasonal average *T* fields as they evolve from 2001 to 2025 Domain: 17 latitude bins of 10 deg width 34 height levels from 2 km to 50 km vertical resolution 1 – 2 km core region 8 km to 40 km



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Climate Monitoring Simulation Study ionosphere modeling



Month: July; UT: 1200 [hhmm]; SAc/F107: 120; SliceFixDim=Lon: 0.0 [deg]

Ionosphere model: NeUoG model (IGAM/UG) Model type: Empirical 3D, time-dependent, sol.activity-dependent model Mode: Driven by day-to-day sol.act. variability (incl. 11-yrs solar cycle, etc.)



Solar activity 1996-2025: day-to-day F107 values and monthly mean values

Solar activity prescription: Representative day-to-day F107 values (weekly history averages)

Future F107 data (2001-2025): from past data of solar cycles 21, 22, and 23 (1979-1999)



Climate Monitoring Simulation Study observation simulations – spatial sampling





Sampling into 17 equal area latitude Bins

- 85°S to 85°N (10°lat x 15°lon at equator)
- No. of occultation events > 50 per Bin for each JJA season (max. 60/Bin)



No. of occultation events per Bin and month

- light gray: June events only
- light&medium gray: June+July events
- light&medium&dark gray: June+July+August



Climate Monitoring Simulation Study observation simulations – simulated observables



Simulated observables are phase path delays/Doppler shifts and amplitudes

- Path delays for the GNSS carrier signals in L band: L1 (~1.6 GHz), L2 (~1.2 GHz)
- Atmospheric path delay (after correction for ionosphere): LC (illustrated above)
- LC phase rms error statistics realistically reflect GRAS-type performance



Climate Monitoring Simulation Study data processing – temperature profiles retrieval



Retrieval scheme





Typical example of T profile errors (\sim 50 events)

Retrieval of 50-60 *T*_{dry air} profiles per latitude Bin

- Temperature errors < 0.5 K within upper troposphere and lower stratosphere for individual *T* profiles
- Errors in T_{Av} for ~50 events < 0.2 K (8 km < z < 30 km)



Climate Monitoring Simulation Study analysis and detection of temperature trends





Computed for the selected Bins based on the *T* fields of the ECHAM4 T42L19 experiment *GSDIO* (Roeckner et al., J. Climate, 12, 3004-3032, 1999)

Objective statistical analysis scheme

- Temperature trends estimation (using T_{JJAAv})
- Time period 2001 to 2025
- Latitude x height slices (17 x 34 matrix)
- Weighted least-squares analysis approach (time-evolution analysis):

Fit design model $T_t = A_{ti} x_i + e_t$

Best fit model

 $\mathbf{x}_{fit} = \mathbf{S}_{fit} \mathbf{A}^{\mathsf{T}} \mathbf{S}_{e}^{-1} \mathbf{T}$ $\mathbf{S}_{fit} = (\mathbf{A}^{\mathsf{T}} \mathbf{S}_{e}^{-1} \mathbf{A})^{-1}$

Detection tests on temperature trends

- in the model run with transient forcings
- in the control run for comparison
- relative to estimated natural variability



Climate Monitoring Simulation Study results of performance analysis: observational error





Bias error in temperature climatology

$$\Delta T_{ij}^{bias} = Interp_i \left[\frac{1}{N_i} \sum \left(\Delta T_j^{retr} - \Delta T_j^{true} \right) \right]$$

Total observational error (rms of bias error)





Climate Monitoring Simulation Study results of performance analysis: sampling error



Sampling error for the selected events

- Difference between the "sampled" JJA average *T* field (from the "true" *T* profiles at the event locations) and the "true" one
- ~55 selected events per Bin (total ~1000)

Sampling error if all events used

- Difference "sampled"-minus-"true" JJA average *T* field using all occultation events available in the Bins
- -~750 events per Bin (~13000 in total)





Total climatological error (observational plus sampling error)

$$\Delta T_{ij}^{total} = \left[\left(\Delta T_{ij}^{obs} \right)^2 + \left(\Delta T_{ij}^{sam} \right)^2 \right]^{\frac{1}{2}}$$



Climate Monitoring Simulation Study perspectives for the full experiment (2001-2025)



Arbitrary but reasonable GNSS occultation based temperature error field realization for a single JJA season (atmospheric evolution based on ECHAM4-MA T42L39 Testbed experiment)



- GNSS occultation based JJA *T* errors are expected to be < 0.5 K in most of the core region (8–40 km) northward of 50°S.
- 2001–2025 JJA T trends are expected to be
 > 0.5 K per 25 yrs in most of the core region northward of 50°S.

∠ Significant trends (95% level) expected to be detectable within 20 yrs in most of the core region
 ∠ Aspects to be more clearly seen in the long-term: ionospheric residual errors, sampling errors, performance southward of 50°S (high-latitude winter region)



Climate Monitoring Simulation Study intermediate summary, conclusions, and outlook



Summary

- We perform a first rigorous evaluation of how well a small GNSS occultation observing system can detect human induced *T* trends within the coming two decades.
- Study of high interest for planned research & demo missions (e.g., COSMIC, ACE+)

Conclusions

- Encouraging performance found within 8-40 km core region northward of 50°S
- *T* trends expected detectable within next two decades in most of the core region
- High latitude winter areas found most challenging

Outlook

- Full 25 year (2001–2025) experiment scheduled in 2002; climate runs are completed
- Application of the monitoring system to create CHAMP/GPS T, Z climatologies
- Closer look into climate change detection & attribution aided by occultation data



Climate Change Monitoring by Radio Occultation CHAMP, COSMIC, ACE+,... – Current & Future Research



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- UNI GRAZ
- Improved monitoring of climatic changes, both due to natural and anthropogenic influences, in the atmosphere's thermal, moisture, ozone, and geopotential height structure
 - Occultation sounding and advanced IR sounding for climate change monitoring (climatologies & analyses) in *T*, *Z*, *q*, O₃
 - Use of the sounding data for atmospheric trend and variability studies (seasonal to decadal scales)
- Exploitation of climatologies&analyses expected to be climate evolution monitors of unprecedented climatological utility
 - Assessment of potential improvements to climate model physics (e.g., in radiation, humidity, and cloud modeling) and forcings (e.g., on volcanic and solar forcing)
 - Preparation of climate change detection & attribution schemes using the novel datasets as rigorous observational constraints





• START-ATCHANGE Programme:

- Advanced Spaceborne Sounding and Climate Modeling for Atmospheric Change Analysis
- timeframe 1999–2004 (budget source FWF/BMBWK)
- ENVI-ATCHANGE Programme:
 - Atmospheric Change Analysis based on Spaceborne *T*, *q*, O₃ Sounding Involving GOMOS, MIPAS and GNSS Limb Sensors
 - timeframe 2000–2005 (budget source ASA/BMVIT)
- ESA-R&D Programme:
 - End-to-end Occultation System Performance Simulation and Advancement of Data Processing Methodology & Algorithms
 - timeframe \geq 1996 (budget source ESTEC/ESA)



CHAMP, COSMIC, ACE+,... – Current & Future Research on initialized future RO research

• ECCMAR Programme:

- European Center for Climate Monitoring, Analysis, and Research research and user services on key global climate datasets
- timeframe ≥2002 (IGAM budget ≥2004: EU&Nat.)
- Seed Project: CHAMPCLIM climate monitoring based on CHAMP/GPS
 - timeframe 2002–2004 (budget: ASA/BMVIT "seed money")

• ESA-ACE+ Programme:

- <u>ACE+ Atmosphere and Climate Explorer</u> Based on GPS, GALILEO, and LEO-LEO Radio Occultation (ESA Earth Explorer Opportunity Mission)
- timeframe 2002–2012 (launch: 2007/08), mission cost ~115 MEUR, (IGAM budget ≥2003: ESA&EU)
- Seed Project: ACEPASS ACE+ phase A science study (on LEO-LEO)
 timeframe 2002–2003 (budget: ESA "seed money")



CHAMP, COSMIC, ACE+,... – Current & Future Research ECCMAR seed project CHAMPCLIM (1)



CHAMPCLIM – Radio Occultation Data Analysis Advancement and Climate Change Monitoring Based on the CHAMP/GPS Experiment

Main partners: IGAM/University of Graz and Division 1/GFZ Potsdam; cooperation also with: MPIM Hamburg, IAP Moscow, IAP/U.o.Arizona Tucson, SA/CNRS Verrieres-le-Buisson



Main Scientific Objectives:

- RO data and algorithms validation based on CHAMP/GPS data
- RO data processing advancements for optimizing the climate utility of the data
- Global RO based climatologies for monitoring climate variability and change

[Figure prepared by: J. Wickert, GFZ Potsdam, Germany, 2002]



CHAMP, COSMIC, ACE+,... – Current & Future Research ECCMAR seed project CHAMPCLIM (2)



Sampling into 17 equal area latitude Bins

- About 61 per Bin on average, 1039 in total
- 18 events in equator Bin (only 1 in June),
 86 events in the 60°N-Bin



- No. of occultation events per Bin and month
- light gray: June events only
- light&medium gray: June+July events
- light&medium&dark gray: June+July+August



CHAMP, COSMIC, ACE+,... – Current & Future Research ECCMAR seed project CHAMPCLIM (3)



Sampling error - all CHAMP/GPS events

- Difference between the "true" JJA average
 T field and the "sampled"one using all
 CHAMP/GPS occultation events
- $-\sim$ 60 events per Bin on average (1039 in total)

Sampling error - simul.study selected events

- Difference between the "sampled" JJA average *T* field (from the "true" *T* profiles at the event locations) and the "true" one
- ~55 selected events per Bin (total ~1000)



CHAMP, COSMIC, ACE+,... – Current & Future Research ACE+ atmosphere and climate mission



ACE+ – Atmosphere and Climate Explorer based on GPS, GALILEO, and LEO-LEO radio occultation

ESA Mission, Science: Lead Investigators P. Hoeg and G. Kirchengast, Mission Advisory Group (appointed by ESA), International Science Team (partners worldwide)



Basic Facts:

- selected by ESA in May 2002 as top priority future Earth Explorer Opportunity Mission
- 4 LEO satellites exploiting GPS, GALILEO, and LEO-crosslink signals
- ~5000 GNSS-LEO events/day, ~230 LEO-LEO events/day
- phase A 2003, after confirmation end 2003 phases B-D until 2007, operations 2007/08-2012





ACE+ primary goals focus on climate and include:

- To monitor climatic variations and trends at different vertical levels and throughout all seasons. This to improve our understanding of the climate system as well as to detect the different fingerprints of global warming.
- To improve the understanding of climatic feedbacks defining the magnitude and characteristics of climate changes in response to given forcings.
- To validate the simulated mean climate and its variability in global climate models.
- To improve and tune via data assimilation the parameterization of unresolved processes in climate models and to detect variations in external forcing of climate.



CHAMP, COSMIC, ACE+,... – Current & Future Research ACE+ mission: scientific objectives

Main Objectives:

- To establish a highly accurate (< 0.003 g/kg or < 3 %, whatever is larger) and vertically resolved (0.5 km) climatology of humidity in the troposphere with global all-weather measurements of its concentration.
- To establish a highly accurate (< 0.2 K) and vertically resolved (0.5 to 1 km) climatology of temperature in the troposphere and the stratosphere with global all-weather measurements of its vertical structure.
- To support research on climate variability and climate change and on validation and improvement of atmospheric models.
- To support advancements of NWP (Numerical Weather Prediction).
- To support analysis and validation of data from other space missions.
- To demonstrate a novel active self-calibrating atmosphere sounding method.

Spin-Off Objectives:

- Ionospheric climate & weather and space weather investigations.
- Assessing and improving present water vapor attenuation models.



CHAMP, COSMIC, ACE+,... – Current & Future Research ACE+ mission: constellation concepts



Concept 1:

- 2 orbital planes, counter-rotating sats
- 2 micro-satellites/plane
- polar inclination ($i = 90^{\circ}$)
- 2 altitudes (~650 & 850 km)
- antenna FOV: +/- 7° in azimuth
- best LEO-LEO performance/link budget



- also 2 orbital planes
- 2 satellites/plane, sun-synchronous ($i \sim 98^{\circ}$)
- also opposite nodal crossing (counter-rotating)
- 2 altitudes (~650 & 850 km)
- antenna FOV: +/– 25° in azimuth

may be favorable in terms of cost (due to sun-sync)





CHAMP, COSMIC, ACE+,... – Current & Future Research ACE+ mission: LEO-LEO and GNSS-LEO coverage



ACE+ LEO-LEO Occultation Events - Global Coverage in 30 Days



Number of Occ. Events (VSet+ARise,LEO): 6928 total, 3464 setting, 3464 rising.

LEO-LEO occultation coverage amounts to ~7000 events/month

ACE+ GNSS-LEO Occultation Events - Global Coverage in 1 Day



No. of Occ. Events (∇Set+∆Rise,GPS+GAL): 5024 total, 2517 setting, 2507 rising.

GNSS-LEO occultation coverage amounts to ~5000 events/day

(2Rx+2Tx ACE+ polar-orbiting LEO satellites, 54 GNSS satellites; 24 GPS and 30 GALILEO)



CHAMP, COSMIC, ACE+,... – Current & Future Research ACE+ mission: LEO-LEO observation performance





Illustration of absorption properties and humidity retrieval performance for LEO-LEO occultations (realistic sensor errors, moderate cloudiness, no horizontal variability)



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- → A suite of GNSS- and LEO-LEO radio occultation sensors, complemented by stellar and solar occultation sensors, holds potential to become the leading backbone of the GCOS (Global Climate Observing System) for climate change monitoring in *T*, *q*, O₃, and *Z* throughout the entire atmosphere up to ~100 km.
- From the occultation methods, GNSS occultation is presently most advanced and LEO-LEO occultation most intriguing for its novelty and water vapor promise. Both together can serve as fundamental building block of a GCOS occultation backbone.
- Current multi-year single RO sensors such as on CHAMP, GRACE, METOP are important initial components for starting continuous RO based climate change monitoring. As a next step, constellations like COSMIC and ACE+ need be implemented with high priority and fully in line with current time schedules.



Climate Change Monitoring by Radio Occultation Concluding Remarks (2)



"The good method is like a sack (bag): it retains everything. The better method is like a sieve (filter): it only retains what matters."

(after Hellmut Walters)

Deutsches Originalzitat (Hellmut Walters): "Das gute Gedächtnis ist wie ein Sack: es behält alles. Das bessere Gedächtnis ist wie ein Sieb: es behält nur, worauf es ankommt."





1st International Workshop on Occultations for Probing Atmosphere and Climate (OPAC-1)

September 16-20, 2002 • Graz, Austria

http://www.uni-graz.at/OPAC1Workshop-Sep2002

Welcome to OPAC-1 – Welcome to Graz!



Climate Change Monitoring by Radio Occultation (optional slide) on the key role of adequate data

Fatal Workflow... (only too true sometimes?)

